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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/579,731	05/17/2006	Kazumichi Uotani	0171-1273PUS1	8869

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EXAMINER

AUDET, MAURY A

ART UNIT	PAPER NUMBER
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1654

NOTIFICATION DATE	DELIVERY MODE
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08/11/2009

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

Office Action Summary	Application No. 10/579,731	Applicant(s) UOTANI ET AL.	
	Examiner MAURY AUDET	Art Unit 1654	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 May 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 11-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 20-28 is/are allowed.
- 6) ☒ Claim(s) 11-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>4/13/09</u> . | 6) <input type="checkbox"/> Other: _____ |

Art Unit: 1654

DETAILED ACTION

The present application has been transferred to the present Examiner by former Examiner Young. Applicant's response is acknowledged. The rejection as to the amended Product claims is made Final, and the action sent in the event Applicant wishes to consider any other amendments thereto, prior to Allowance of the Method claims. Applicant is welcome to telephone the Examiner to schedule an interview to advance prosecution as needed.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

New claims 11-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over M.R. Johnson (US 2003/0195160 A1) in view of Tanimoto et al. (US 5,447,732) and Yalpani (US 20040063612) (former two discussed in previous action).

Art Unit: 1654

The amended product claims drawn to open-ended comprising language, remain rejected under the same grounds as previously established. The rejection is repeated below for continuity of record.

Johnson, as noted in previous Examiner's action, teaches a compound that is a sodium channel blocker directed, among other purposes, to modulating sodium channels so as to allow proper moisturizing or wetting of mucosal surfaces (paragraphs [0004-0005], page 1), reciting xerostomia in particular (paragraph [0011], page 2) as one particular problem related to lack of surface liquid and that one object of his invention is to provide a method of treating dry mouth xerostomia comprising administering to the mouth of the subject the compound of his invention (paragraphs [0092-0093], page 4), which can be formulated as a salt of organic acids such as polyglutamic acid (paragraph [0162], bottom right-hand column of page 5, the recitation of polyglutamic acid itself being in the first line of the left-hand column of page 6). The method of treating dry mouth (xerostomia) by administering the compound (or its salt) is claimed in claim 65, left-hand column, page 22. It can be appreciated that although Johnson does not claim polyglutamic acid in his claim regarding his method of treating xerostomia, his inclusion of polyglutamic acid in a list of art-recognized pharmaceutical salt components teaches the use of polyglutamic acid or its salt form, polyglutamate, as part of a treatment for xerostomia. It being in the nature of salts to readily dissociate into their component species it can be further appreciated that polyglutamate ion would thus be able to exert any expectorant or sialogenic effect in may possess. Johnson's method of administering the active salts is not claimed but is suitable to the location being treated, as per the mouth if necessary.; similarly Johnson's claims are directed to "an effective amount" of his compound without any limitation as to the range of

Art Unit: 1654

concentrations embodied. It should be noted that M. R. Johnson has a similar invention, disclosed in US Patent Application Publication 2003/0199456 A1, published October 23, 2003 (also filed February 19, 2002), relating to a similar sodium-channel blocker, formulated similarly, to be used in methods of treating similar mucosal hydration conditions, including xerostomia, as above.

Tanimoto et al teach a composition containing poly-glutamic acid and its degradation products that will act as a mineral-absorption enhancer when used in foods, in a variety of forms, including beverages, gels, solids or powders. Tanimoto et al. also teach that poly-glutamic acid is naturally available in a non-isolated product of the bacterium *Bacillus natto*, and that the advantage of Tanimoto et al. invention is that poly-glutamic acid can be provided as a source of nutrition, in pure form, with less cost and labor (column 3, lines 52-68). Tanimoto et al also teach that poly-glutamic acid and its sodium or other salts can be used interchangeably (column 4, lines 60-62) and that it can be readily used as or in food (column 4, lines 63-69, carried over to column 5, lines 1-52). In this regard Tanimoto et al further provide a recipe for beef curry in which sodium poly-?-glutamate is used as an ingredient (column 10, Example 10, lines 34-56, carried over to lines 1-15 of column 11. More food recipes incorporating sodium poly-glutamate are provided throughout the remainder of columns 11, 12, and 13. Tanimoto et al claim compositions comprising 0.1-10% w/w poly-glutamate in claim 1 and similarly, with a concentration of 0.01-5% w/w in their claim 2, this broad range anticipating the instant claim 5 by overlap. It can be appreciated that the incorporation of poly-glutamate into food items it can be consumed from one to as many times per day

Art Unit: 1654

as desired or required, thus anticipating the instant claim 6. Tanimoto et al's claims 5-13 claim use of their composition comprising poly-glutamate in a range of food types (liquid, Solid or powder) and foodstuffs. The methods of providing the poly-glutamate composition to mammals are claimed in claims 14 and 15.

Yalpani et al. teach PGA or polyglutamic alone:

[0054] These in homogeneities and structural variabilities of chemically derived poly(amino acids) are reflected in the study of Hefti et al. cited above. The authors attempted to examine a number of poly(amino acids) derived by thermal polycondensation of amino acid precursors and their ability to stimulate growth of dissociated fetal rat forebrain neurons (Hefti, F., et al., Brain Res., 541, 273-83, 1991). The authors studied either commercial homopolymers, e.g., alpha.-polyglutamic acid with average molecular weights of up to 43,000 Da (from Sigma Chemical Co.) or copolymers (with Mw of 1,000-10,000 Da) containing multiple amino acid repeat units, e.g., aspartic acid, glutamic acid and tryptophan. The authors were unable to correlate the observed activities to polymer structures. They acknowledged substantial compositional heterogeneities of the polymers, such as the presence pigments and significant amounts of non-peptide linkages. They noted significant inconsistencies in the performance of these materials as a given poly(amino acid) could display variable potencies and be active or inactive, depending on the conditions of its preparations. Similarly, a poly(tryptophan) was inactive, whereas a copolymer of tryptophan and aspartic acid exhibited potency. The authors postulated that the presence of dicarboxylic acids was a prerequisite for activity. Hefti et al. also acknowledged close similarities in the activity of serum alone to those of their polymers.

Yalpani also teach in para 61, that such products may be administered orally.

As noted in the previous application:

In the instant case, Johnson, in paragraph [0162], pages 7-8, lists compounds that are suitable for preparing salts of his active ingredient for a preparation directed towards alleviating xerostomia. Johnson's active ingredient, a sodium channel blocker, acts on ion channels and can be administered in its pure form or conjugated and

Art Unit: 1654

organic acid and presented to the subject as a salt. One suitable organic acid stated by Johnson is polyglutamic acid (page 8, left-hand column, line 1). Polyglutamic acid is a member of a list of incidental ingredients of Johnson's invention and it would be obvious to select through the list and use polyglutamic acid in a formulation to be used for the same intended result, namely, improved flow of saliva and alleviation of xerostomia.

Thus, Johnson teach that compositions for treating dry mouth or xerostomia also comprising PGA were known in the art. And it is known that PGA used in range amounts of 10,000+ (Daltons) is also known, as Yalpani teaches, and in the 0.1 to 10% by weight of composition amount, as Tanimoto et al. teaches. Thus, all the elements of the claimed invention are known (the addition of such known additives as saccharin, etc. merely be known oral-based additions routinely selected and provided no unobvious advancement, e.g. Applicant's claims 2-3). So, the issue is why does the combination of the above render either a closed-ended (e.g. Applicant's claim 1) or open-ended (Applicant's claim 2) PGA composition obvious, that may be used for any oral product (under a products broadest reasonable interpretation, e.g. Applicant's claims 1-3 and 7-10) or in a method of treating xerostomia? Because of Applicant's current choice of transition phrases: the method claims leave e.g. Applicant's product claims 2-3 and 7-10, as well as method claims 4-6 open to PGA WITH ANYTHING ELSE for treating xerostomia (e.g. Johnson ref.). Similarly, Yalpani teach that PGA is known to be used alone in certain products (those tested in Yalpani (e.g. para 54) and administered orally (para 61); thus nothing would prevent the Yalpani product from being used alone, in an oral product, especially since an amino acid which are well known to be ingested orally (irrespective of what call (sialogogue, oral composition, food product, toothpaste, gum)).

Art Unit: 1654

For the reasons set forth above, and the combination of references herein, the products and method remain obvious.

Allowable Subject Matter

Amended claims 20-28, drawn to methods of treat xerostomia/dry mouth were not found to be reasonably taught or suggested by the prior art of record, using a sialogogue comprising a polyglutamic acid, following consideration of Applicant's arguments and amendments and a review of the art of record. Pending the cancellation or amendment of the rejected product claims, if amended over the art of record, an updated search of the art will follow as to the Method claims (and potential Product claims) and if still deemed free of the art, allowance thereof will be rendered.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

Art Unit: 1654

however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MAURY AUDET whose telephone number is (571)272-0960. The examiner can normally be reached on M-Th. 7AM-5:30PM (10 Hrs.).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MAA, 8/3/09

/Maury Audet/
Examiner, Art Unit 1654
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